



European Union Trust Fund

T05-EUTF-HOA-UG-68

Security, Protection and Economic Empowerment (SUPREME)

Clarifications

1. Are intergovernmental organizations including UN agencies with long standing engagements with issues regarding access to justice and rule of law, particularly in refugee settings, eligible under section 2.1.1 to participate in the call?

Response: As stipulated in the guidelines for grant applicants, a prospective lead applicant must fulfil all the requirements in section 2.1.1 in order to be eligible for a grant. International (inter-governmental) organisations as defined in Article 156 of the EU Financial Regulation are NOT eligible, nor can act as co-applicant(s) under this call for proposals. Consequently, by virtue of not being civil society organisations¹, intergovernmental organisations are not eligible to participate in this call.

2. Can you confirm if other modes of delivery have opened up for the above call? During the current COVID challenges, should we still proceed with hand delivery/courier service?

Response: At the time of publication of the clarification questions, the mode of delivery for the call is stipulated under section 2.2.2. The status of the lockdown in Uganda will be closely monitored and if submission of hard copies is not possible a corrigendum will be prepared to allow other means of delivery.

3. Given the restrictions and risks of infection due to COVID-19, is there a possibility to submit the documentation electronically rather than on paper?

Response: At the time of publication of the clarification questions, the mode of delivery for the call is stipulated under section 2.2.2. The status of the lockdown in Uganda will be

¹ According to Annex II.B.a of Regulation (EU) No. 233/2014, 'Civil society organisations' are non-State, non-profit making actors operating on an independent and accountable basis which include: non-governmental organisations, organisations representing indigenous peoples, organisations representing national and/or ethnic minorities, diaspora organisations, migrants' organisations in partner countries, local traders' associations and citizens' groups, cooperatives, employers' associations and trade unions (social partners), organisations representing economic and social interests, organisations fighting corruption and fraud and promoting good governance, civil rights organisations and organisations combating discrimination, local organisations (including networks) involved in decentralised regional cooperation and integration, consumer organisations, women's and youth organisations, environmental, teaching, cultural, research and scientific organisations, universities, churches and religious associations and communities, the media and any non-governmental associations and independent foundations, including independent political foundations.

closely monitored and if submission of hard copies is not possible a corrigendum will be prepared to allow other means of delivery.

4. Our declarations are signed at our headquarters in Europe. Given the currently restricted mail and courier services, would it be permitted to submit a scanned version of the declaration in case the signed original doesn't arrive on time?

Response: Due to the COVID-19 restrictions, scanned declaration forms will be permitted. However, scanned declaration forms must be countersigned and stamped by either the applicant's local office representation or the co-applicant and submitted in hardcopy to the Delegation. As regards submissions of hard copies, the status of the lockdown in Uganda will be closely monitored and if submission of hard copies is not possible a corrigendum will be prepared to allow other means of delivery.

5. It is mentioned that the lead applicant must "Have representation in Uganda" (Guidelines p.7). As such our Organisation does not have a representation in Uganda, as we are part of the international Organisation Network. Our organisation does not have any country- or regional offices, but always implement projects in partnership with the local Organisation office, including with our organisation. Could you guide us: **Does our organisation with this setup fulfil the criteria of having "a representation in Uganda"**? If our organisation does fulfil this criteria, would it imply that Local Organisation could not be considered the co-applicant?

Response: As stated in section 2.2. of the guidelines, the contracting authority cannot give a prior opinion on the eligibility of lead applicants, co-applicants, affiliated entity(ies), or an action. However, you are encouraged to review closely the information in section 2.1.1.: The lead applicant can be established in Uganda where the action is taking place or be established in a Member State of the European Union or a member state of the European Economic Area or in a developing country as included in the list of ODA recipients, or in member countries of the OECD. However, an applicant not registered in Uganda must have a Ugandan registered organisation either as a lead applicant or co-applicant.

- 5.1. Since Our Organisation as well as Local Organisation are part of the international Organisation, wouldn't that amount to Our Organisation having "representation" in Uganda as well as at our Local Organisation as regional offices? Or rather would it be the expectation that Our Organisation should have local operating offices in Uganda and Africa, and hence the need to create some kind of additional consortium?

Response: The lead applicant can be registered in any country. However, an applicant not registered in Uganda must have a Ugandan registered organisation either as a lead applicant or co-applicant.

6. Could you please provide a definition of 'have a representation in Uganda'? What are your requirements for said representation?

Response: The lead applicant and/or co-applicant must be registered and established organisations in Uganda. The lead applicant must be represented in Uganda either through their own registration or through the registration of their co-applicant.

7. In the guidelines it says to be a lead applicant you need to 'be a legal person'. Can you expand on this for us? We are an International NGO that is legally registered in Uganda. Would this make us eligible?

Response: A legal person generally refers to an actor or entity conferred with the authority and capacity to enter into a legally binding agreement. Depending on the jurisdiction or entity, in the case of an actor or entity other than a natural person, legal personality may be conferred by registration or a statute. An organisation legally registered in Uganda is eligible to participate if it fulfils all other requirements stipulated in section 2.1.1 of the guidelines to grant applicants.

8. We are seeking clarification on the “additional criteria” that will be assessed at step 2 for those who are invited to submit full proposals, listed at the beginning of the page 8 of the Guidelines. As these criteria are being listed within the section of the Lead Applicant, we assume that they apply to the Lead Applicant. However, if we also consider that “co-applicants must satisfy the eligibility criteria as applicable to the lead applicant himself”, does this therefore imply that the “Additional Criteria” should be met by all the co-applicants involved in the proposal, including local CSOs?

Response: The sentence on top of page 8 in the Guidelines should be understood to read, “Additional criteria that will be assessed at Step 2 for those consortia who are invited to submit full proposals”. Hence, the additional criteria apply collectively to the organisations making up a consortium. Not all members of the consortium have to fulfil all the additional criteria individually as long as collectively the additional criteria are fulfilled.

9. What do you exactly mean by “have representation in Uganda”? The Organisation has an office in Uganda, has a Ugandan independent legal entity and will have one role in the application. The Organisation Office in country X (who will be contributing the required co-financing) has another independent legal entity in that country but it does not “have representation in Uganda” per se, other than some ongoing grants being implemented through Organisation Uganda. Are these ongoing projects sufficient to meet the criteria “have representation in Uganda”? As Organisation Uganda and Organisation Finland are part of the same Organisation partnership, can the office in Uganda be representing Organisation Finland and therefore allow Organisation Finland to meet this criteria?

Response: The lead applicant can be registered in any country. However, an applicant not registered in Uganda must have a Ugandan registered organisation either as a lead applicant or co-applicant.

10. We have noted that projects must take place in a minimum of two districts in Northern Uganda, but wondered if more guidance could be provided on what constitutes ‘Northern Uganda’. Specifically we wish to know if Kiryandongo is a location that would be eligible under this action.

Response: Northern Uganda in context of this call for proposal refers to districts of Acholi, Lango and West Nile sub-regions, only.

11. Is Adjumani and Moyo considered to be part of Northern Uganda under this call or is the focus only the Acholi subregion.

Response: Please refer to the response of Question 10.

12. Must the co-applicant also have a physical presence in the area of operations or would the physical presence of the lead applicant suffice even if the co-applicant is not available? I ask this because there is a partner we are considering that is operational in Uganda with strong competence that this project could benefit from but they don't have a physical presence in the region. But we as the lead are present in the region.

Response: The sentence on top of page 8 in the Guidelines should be understood to read, "Additional criteria that will be assessed at Step 2 for those **consortia** who are invited to submit full proposals". Hence, the additional criteria apply collectively to the organisations making up a consortium. Not all members of the consortium have to fulfil all the additional criteria individually as long as collectively the additional criteria are fulfilled.