



# Innovation Fund

## Component III - RESET Plus

Septembre, 2018

*The sole purpose of this document and information session is to clarify as much as possible the information already provided in the guidelines for grant applications which remain the only legally binding basis for this procedure*

## 0. Context (I)

Since 2012, the EU has invested more than **200 million Euros** on different resilience building programmes in Ethiopia.

The **EU Resilience Building Ethiopia (RESET)** set up the basis for introducing innovative resilience building initiatives aimed at interlinking the EU's humanitarian and development cooperation in Ethiopia.

This Innovation Fund is one of the four components of **RESET Plus**.

## 0. Context (II)

In line with the framework of the EU RESET programme, the **objective of this Innovation Fund** is to foster social innovation that enhances resilience-building in Ethiopia

Actions under this Innovation Fund are expected to **influence existing systems** by introducing and adopting new and appropriate methodologies, approaches, technologies and practices, in partnership with public and private stakeholders, which empower women and youth, including addressing the underlying social, cultural and economic causes of inequality in their respective societies

# 1. Negotiated procedure for the award of grants

## **EUTF has flexible procedures**

- Guidelines are published on EUD website
- **Concept notes and** Full applications have to be submitted as per the instructions provided
- Full applications are evaluated according to the eligibility and selection criteria outlined in the guidelines
- A negotiation will take place with the pre-selected highest-scoring, eligible applicant
- If the negotiation is unsuccessful, the other applicant in the reserve list will be invited for negotiation, and so forth

## 2. Objectives of the Call

**Global objective:** promote social innovation in the resilience-building sector in Ethiopia by providing financial support for innovation to third parties

**Specific objective:** support both innovative initiatives and action researches through the establishment of an Innovation Fund (financial support to third parties)

## 3. Theory of change



**Level 1** By supporting Innovators to develop new solutions within systems

- Innovations are assessed and selected



**Level 2** ...and where those innovations make a change or improvement

- Innovations are tested and proven to work



**Level 3** ...an innovative change can be incorporated into the system that helps grow & transform Ethiopia's rural poor

- System change and upscale

## 4. Size of the Grant

Maximum amount of **EUR 8,000,000.**

At least EUR 6,600,000 for financial support  
to third parties:

- EUR 6,000,000 for the component 1(innovators)
- EUR 600,000 for the component 2 (research)

## 5. Eligible applicant, co-applicants (I)

**Lead applicant:** must meet the eligibility **criteria set out in the Guidelines for the applicant** and the following technical requirements:

- Have demonstrated experience in at least one EU grant of more than EUR 2M in the past 5 years
- Have at least 5 years of proven technical knowledge and/or experience in resilience building initiatives and/or in the geographical areas listed in the section 2.1.4.



## 5. Eligible applicant, co-applicants (II)

**Co-applicants:** Diverse actors, including private sector organisations, are encouraged to apply as co-applicants.

However, private sector organisations are not allowed to make profit from activities in the project financed by the EU grant

**Maximum of two co-applicants**

## 5. Eligible applicant, co-applicants (III)

An entity can only be part of one application under this Call for proposals, no matter **the** role as lead applicant, co-applicant or affiliated

## 6. Eligible actions

**Duration:** 36-42 months

**Geographical areas:** RESET woredas & neighbouring woredas, if duly justified

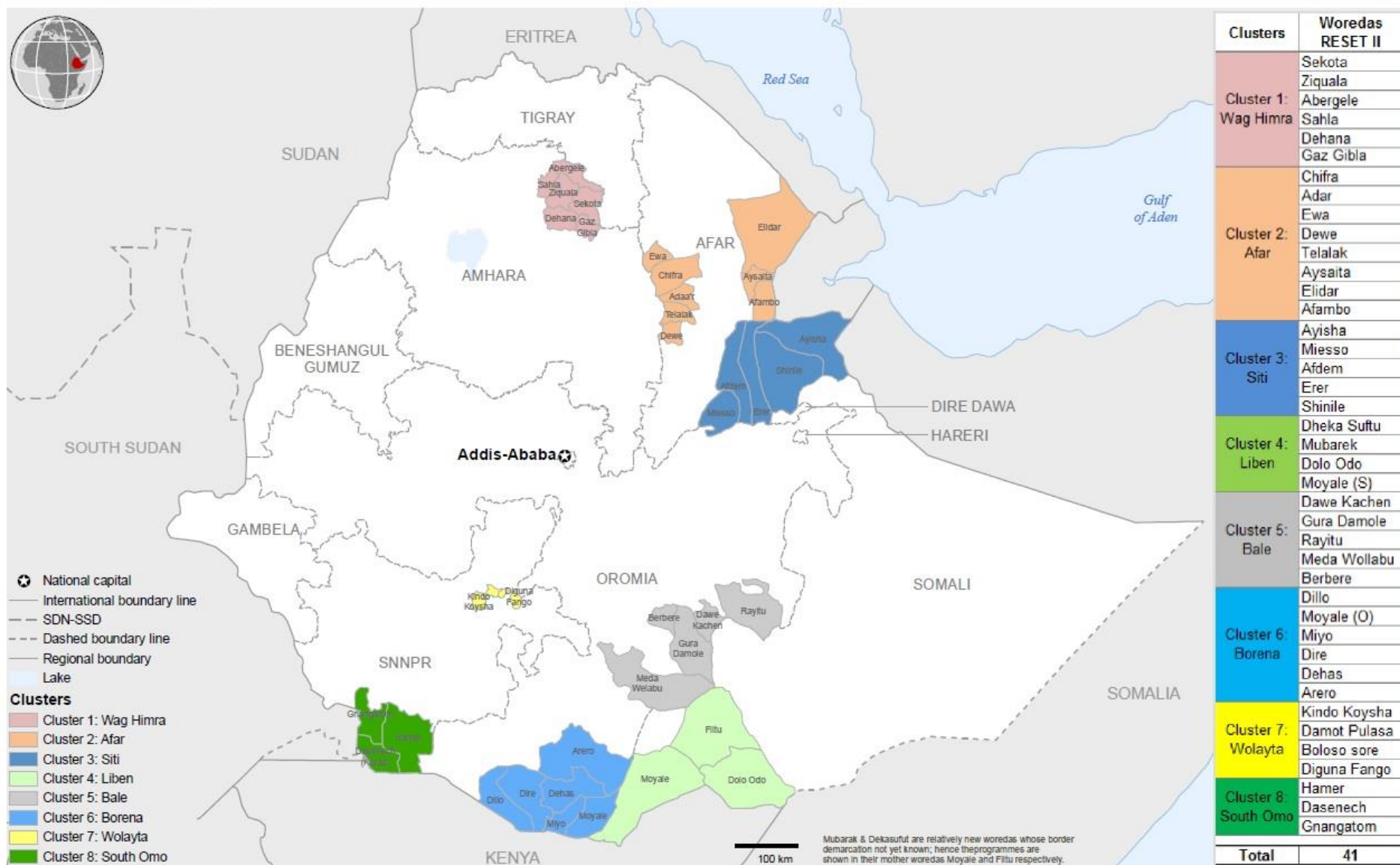
**Types of action:** Financial support\* to third parties (innovators and researchers)

**Types of activities:** Identification and selection; Follow-up; Close monitoring and support; Knowledge management, communication, learning and influencing



# EU Resilience Building Programme in Ethiopia (RESET II)

January 2016



The boundaries and names shown and the designations used on this map do not imply official endorsement or acceptance by the United Nations. Final boundary between the Republic of Sudan and the Republic of South Sudan has not yet been determined.

Creation date: 22 Jan 2016

Map Doc.:23\_23\_ECHO\_DEVCO\_012216\_A4 Sources:EU

Map Prepared by: OCHA

Feedback: ocha-eth@un.org

www.reliefweb.int

## 7. Financial support to third parties (I)

### The financial support should be awarded in a transparent way

Therefore, the guidelines for applicants include **7 criteria** that should appear in every proposal:

1. The objectives and results to be obtained with the FS
2. Fixed and exhaustive list of activities for which a third party may receive FS
3. The **type** of persons or categories of persons which may receive FS
4. Criteria for **selecting these entities and giving the FS**
5. Maximum amount to be granted to each third party and
6. the criteria for determining it.
7. In addition, the Applicants should demonstrate in the proposal that he has the financial capacity to manage the FS to third parties and that they can offer adequate guarantee regarding the recovery of the amount due.

## 7. Financial support to third parties (I)

### Two components:

- Component 1 Financial support to Innovators
- Component 2 Financial Support to Researches

### Objectives:

- Expected to influence existing systems by introducing and adopting new and appropriate methodologies, approaches, technologies and practices, in partnership with public and private stakeholders, including addressing the underlying social, cultural and economic causes of inequality in their respective societies
- Empower and benefit youth and women from EU RESET target populations

## 7. Financial support to third parties (II): criteria 1

**Type of activities:** credible innovation concepts with the potential to bring systematic change.

Some important aspects:

- complementarity with the EU RESET programme
- potentially integrated within a "system" in which the idea can be tested, replicated, scaled-up and sustained without external support
- Supported by a "business case"
- Integrating learning with the potential to generate documentation & influencing at different levels;
- A strong approach and impact on gender equality and climate change.
- ....

## 7. Financial support to third parties (III): criteria 1

The **thematic areas** for the financial support are the following:

- Sustainable climate smart production systems
- Livelihood diversification related to agro-livestock business and value chains related to productivity and access to market
- Livelihood diversification related to proximity services to communities
- Transforming agricultural technology transfer and adoption
- Rural job creation – self or waged employment
- Water supply development and sustainable management
- Long-term Nutrition
- Disaster Risk Reduction techniques/approaches
- Sustainable Natural Resource Management
- Demography - Family Planning



## **7. Financial support to third parties (IV): criteria 2**

### **Type of parties/categories:**

#### **Component 1 Financial support to Innovators:**

non-governmental organisations, civil society organisations, including grassroots community based organisations such as cooperatives, women's associations or youth associations/clubs, national universities or research institutions.

Partnerships are highly encouraged.

#### **Component 2 Financial Support to Researches:**

national and international institutions including research centres and universities and other relevant institutions

## 7. Financial support to third parties (V): criteria 3

### Criteria for selection:

- Knowledge of the target thematic areas with experience relevant to resilience building and systematic change - innovative initiatives.
- Ability to propose an innovation that can be scaled up and institutionalised, having an impact on local, regional and/or national system;

**Launching process:** orientation seminars both at Addis Ababa and 5 RESET regions

Set up of a **committee** with relevant stakeholders and EU as observer

## 7. Financial support to third parties (VI): criteria 4

### Amounts:

#### Financial Support for innovators:

- minimum amount: **EUR 25,000** by the third party
- maximum amount: **EUR 500,000** by the third party

#### Financial Support for researchers:

- minimum amount: **EUR 100,000** by the third party
- maximum amount: **EUR 300,000** by the third party

## **7. Financial support to third parties (VI): criteria 4**

### **Criteria for determining the exact amount:**

Based on the scope of the proposed action by the different categories of innovators, and the set minimum and maximum amounts indicated in the Guidelines

## 8. Visibility

Applicants must comply with the objectives and priorities and guarantee the visibility of the EU Trust Fund and EU financing

## **9. Next steps (I)**

**Deadline for submission of applications 10/11/18 12:00**

**Deadline for requesting any clarifications  
from the Contracting Authority 19/10/18 17:00**

**Last date on which clarifications are issued  
by the Contracting Authority 29/10/18**

## 9. Next steps (II)

For all communications please use:

[DELEGATION-ETHIOPIA-INNOVATIONFUND@eeas.europa.eu](mailto:DELEGATION-ETHIOPIA-INNOVATIONFUND@eeas.europa.eu)

# Thank you

# Q&A