

Questions on Call for Proposal NSA and LA Nepal (133584)

TECHNICAL / OPERATIONAL PART

1. We are bidding for **priority third that is Agriculture development**, which are the **geographical areas** you are willing to develop this programs on. Any particular? (Just for your information, American agricultural programs are focused in western regions of the Nepal while Danis agricultural programs are focused in eastern regions.) Likewise. If you have any particular regions to consider?

Answer: Preference will be given to actions in geographic areas with high level of under nutrition and food insecurity.

2. It is stated as quoted in the following paragraph on page 8 of the guideline (the last para. of Lot 2-LOCAL AUTHORITY section)

‘The assessment of proposals under this lot will take into account the Minimum conditions and performance measure (MCPM) carried by the Ministry of Local development under the LGCDP (Local Governance and community development Programme). Local authorities are asked to submit in annex to their concept note the scores for at least the last two years.’

In the above quoted para, last sentence is quite unclear that which score criteria is being imposed or what does the ‘scores’ mean.’ for at least the last two years.’ also does not clearly indicate of which action taken within that time frame. Would you kindly clarify whether it is a part of quoted guideline portion from mentioned LGCDP? Does it related to the two years involvement on solid waste management activities in association of local authorities as it was mentioned in the EU Advertisement published on a national Daily?

Answer: The Government of Nepal (GoN) has implemented the system of allocation of minimum grants and additional grants to Local Bodies (LBs) in order to carry out assigned duties according to clause 236 of the Local Self Governance Act (LSGA), 1999 , sine 2007/08 based on the result of MCPMs of DDCs. The system has replicated in VDCs and municipalities from 2009/10.

The paragraph indicates about experience in the field of solid waste management as well as performance of the Local Authority (or municipality) in terms of MCPM scores. Therefore, the applicant is required to:

- demonstrates its experience in the relevant field i. e. solid waste management for the last two years. THIS IS AN ELEGIBILITY CONDITION TO BE SELECTED

AND

- submit its MCMP results (together with the CN) for the last two years. THIS IS AN ADMINISTRATIVE REQUIEREMENT.

Both, these criteria are used for the evaluation of the proposals.

3. How the geographical location/administrative division like VDC (village Development committee), District, Zone or Region will be selected to launch the educational programs to be concentrated as it is clearly mentioned in the 3rd priority of lot 1 (i.e. agriculture development). Furthermore should project be concentrated on region or district as a whole or shall be launched in some selective VDCs?

Answer: Proposals should provide a clear rationale for the working districts and communities to be selected. CFP states clearly that the projects should address access and equity for poor and marginalised groups.

4. Geographical locations and the coverage of the area under study are not clearly mentioned in the guideline. Please guide us about the sites to be selected.

Answer: For lot 1 priority rural development the guidelines clearly state that the action/project should be in an area with high level of under nutrition and food insecurity. For the rest of priorities there is no particular criteria highlight. However in the proposal the selection of the specific geographic area (districts) should be justified by the applicant.

5. Lot 1 priority no. 3 is for agricultural development. For this, there is no mention about the commodities to be selected in guideline. Can we take commodities by importance in the districts/regions?

Answer: There are no limitations for choice of commodities. However, the choice should be in line with the global and specific objectives of the priority.

6. Can we propose to establish processing units, provide machineries and construct infrastructures within the allocated budget to conduct specific commodity based processing and enterprise development action research?

Answer: The guidelines of the call do not prevent including mentioned activities in a proposal, as long as they contribute to the overall and specific objectives of the call and follow other key principles (such as sustainability).

7. Which type of study will be in your policy priority (a) Farmers participatory research confined to limited commodity species over 2 to 3 locations or (b) Covering wider varieties of commodities and socioeconomic groups of people of more than 3 locations within the country?

Answer: The proposals will be evaluated against the evaluation criteria set out on pages 22 – 24 of the guidelines for the call for proposals. So for example in this case, the order between the two proposals would depend on the relevance, design, effectiveness and feasibility, sustainability etc. of the overall proposals.

8. Education- Are proposals allowed including partnerships with the Private Sector?

Answer: Co-applicant(s) must satisfy the eligibility criteria as applicable to the applicant himself, so please see 2.1.1. *Eligibility of applicants* of the [guidelines](#) to verify if your proposed co-applicants meet the eligibility criteria.

9. Education- Are proposals related to Higher Education eligible for funding under the call?

Answer: No. The CFP guidelines give clear guidance on this and the calls relate to basic education - ECD to Grade 5 and the new Grades 6-8 curriculum.

10. Education- Should the proposals include all the criteria listed?

Answer: No. Proposals should focus on the areas specified on selected areas when the NGO has proven experience and a strong track record. However, all proposals should clearly state how the action will address the issues relating to access, equity and quality.

11. Education- additional costs related to remoteness and inflation will be covered by the EU?

Answer: Budgets should take into account the additional costs that maybe incurred in working in remote or difficult to access areas. They should also consider likely levels of inflation over the course of the project and how this may impact on the project costs.

FINANCE & CONTRACT PART

12. What would be the upper limit (**60000 or what? especially 600000 part was bit confusing**) to bid for an organizations like ours (NGO).

Answer: There are two different things regarding amounts:

a) One is the minimum and maximum amount of the EU grant (see amounts under point 1.3 of guidelines)

Minimum and Maximum limits under this Cfp are:

Lot 1 –Non state actors

Priority 1

. minimum amount: EUR 250,000

. maximum amount: EUR 500,000

Priority 2

. minimum amount: EUR 250,000

. maximum amount: EUR 500,000

Priority 3

. minimum amount: EUR 500,000

. maximum amount: EUR 700,000

Lot 2 –Local Authorities

. minimum amount: EUR 200,000

. maximum amount: EUR 350,000

b) the other amount refers to the maximum amount of sub- grant per third party (EUR 60,000) as states in point 2.1.4 of guidelines.

- 13.** If any of the European universities are taken as a partner organization as NSA then what are the criteria of break down of the funds. How and what are the key things we need to be aware of while selecting the EU organization as a partner?

Answer: a) Costs incurred by Co- applicants are eligible in the same way as those incurred by the applicant. For detailed information on eligibility criteria of budget breakdown; please refer *2.1.5- Eligibility of costs* of the guidelines.

b) Key things, among others, to be aware of while selecting any organization as a co-applicant are: should fulfil the eligibility criteria stated in guidelines *under 2.1.1. Eligibility of applicants (i.e. applicant and co-applicant(s))* and capable to implement the action in the area of the selected priorities.

- 14.** What is the meaning of 600000 limit, mentioned in the guidelines? We are not clear of it. Does it apply for an individual proposal? And what are the other budgets you have mention as upper and lower limit for different criteria s?

Answer: There is nothing mentioned about the limit of 600000 in the guideline BUT of € 60 000 instead, so the answer to this query is on the assumption that it is a typing mistake.

1.

a) € 60 000 is maximum limit to be used for "simplified cost option" by any of the applicants individually (including simplified cost options proposed by their own affiliated entities) - the indirect costs are not taken into account.

b) € 60 000 is the maximum amount of a sub-grant per third party.

c) Registration in PADOR is obligatory for applicants for grants above € 60 000.

2.

Upper and lower limits means minimum and maximum amount of grant which could be requested by applicant under specified LOTS & Priorities. Please see answer no. 12.

- 15.** Referring 2.1.1. Eligibility of applicants and co-applicants of Guideline for grant application: applicant must be able to demonstrate that it should be working in similar/related issues for at least two years (for non-state actors from Nepal). We have recently set up our organization and It's been only three months we are in operation, so are we eligible to apply as applicant or co-applicant.

Answer: No

- 16.** Reference: EuropeAid/133584/L/ACT/NP (DCI-NSALAPVD).
How should we respond the following statements?

- a) For Europe Aid: The Controller of your call for tender is XXXXXXXX
For Enlargement; The Controller of your call for tender is XXXXXXXX
For any other DG: <please add the name of your Controller >

Answer: Please ignore it.

This is not clear to us.

- b) Summary of the action part. We are asked to tick the box, but there is no box to tick.

[Lot:

- Please tick the box corresponding to the specific lot for which you are applying:

Lot 1 There is no box to tick, what shall we do?

Lot Y] There is no box to tick

Answer: Please just mention the LOT number and if it is LOT 1 please also mentioned the priority.

17. As it is mentioned in your distributed handouts during April 18 Information Session: last line of the last slide on page 5

**‘Implementation
Local Authorities and NGO’**

Shall local NGOs/INGOs apply for the Lot 2 as major party assisting to local authority?

No doubt, ‘Associates (NGOs/EU-INGOs)’ on its part are assisting fully in major technical parts to come over the current issues/problems one like solid waste management in municipal areas. Will it be preferable to action of associates or NGOs as a major role and the local authority being acted to be supported by the associates as there are lot of municipal or lower units of local governmental bodies, which are lack of well trained technical consultants or staffs. Even they just simply do not have idea on how to approach donors, how to submit technical and financial proposal and how to make correspondences on the basis of terms of reference set by donor or a prime organization governing the donors.

Answer: For Lot 2 (local authorities) partnership with NSA is strongly recommended, however it is up to the applicant to decide the involvement of co-applicant in the design and implementation of the proposed action.

18. How shall well expertise Local/EU member NGOs approach to EU Delegates as grantees in regard of grant application under lot 2?

Answer: The question is unclear. Concerning the applicants under Lot 2, please refer to the eligible criteria stated under 2.1.1 of guidelines.

19. As mentioned in the section 1.3 of the guidelines there are provision of Indicative allocation of funds as: Lets consider lot 2: Local Authorities- EUR 1,000,000/- and for this lot.

But for EU grant as per individual applicant

Minimum and maximum ceilings are given as

Lot 2 –Local Authorities

· Minimum amount: EUR 200,000

· Maximum amount: EUR 350,000

Does it mean that there will be two or more grantees awarded at a time?

Answer: Yes, grant could be awarded to more than two grantees under this call if allocated fund under specified Lots is enough.

20. Referring to Eligible direct costs as mentioned on page 15 of the guideline, I tried to find article 14 in Annex G of guidelines but I could not find articles after the article 7 within given 8 pages. Is the provided Annex incomplete or it is another article instead of 14?

Answer: You can find article 14 in the General condition (Annex II) of the Standard Grant Contract (Annex G).

21. Shall the CVs and company document be attached along with the concept note at its time of submission?

Answer: No

22. Shall a NGO or LA can apply separately for two lots of EU proposal invitation at a time?

Answer: No. Please see 2.1.4 - *Number of applications and grants per applicant of the guideline.*

23. We would like to ask you whether Norlha (Norlha is a Non-Governmental Organization based in Switzerland with subsidiaries in France, Spain and The Netherlands. Norlha currently undertakes a programme on agricultural development for sustainable livelihoods in the mountain regions of Nepal.) is eligible for the EU call for proposals (133584) given that its head office is located in Switzerland. We have understood that Swiss-based organizations normally are eligible to EU grants (DCI in particular) as Switzerland is a member of OECD/DAC. We could however not find indications on this matter in the EU call guidelines.

Answer: Please see 2.1.1. *Eligibility of applicants of the [guidelines](#) to verify if your organization meets the eligibility criteria.*

24. Question relating to Europa Aid/ 133584/L/ACT/NP(DCI-NSALAPVD)
Suppose an individual is the chairperson of two different organizations and each of these organizations are interested and capable to submit the proposal in different priority areas under the specific lot mentioned in Europe Aid/133584/L/ACT/NP. If both of such organizations submit the proposal in different priority area, will their proposal be valid for the evaluation or it will not be valid for evaluation?

Answer: More than individual, it is the Legal Entity of the organization that matters. One organization may submit only one grant application. The applicant may not be a co-applicant or an affiliated entity in another application at the same time.

25. As per statements of page 14 ("Number of applications and grants per applicant") we do understand if our organization is "applicant" in a proposal of lot 1, cannot be co-applicant in a proposal of lot 2, can we? (For NSA-LA call for proposal - 133583)

Answer: The applicant may not be a co-applicant or an affiliated entity in another application at the same time

26. We are operating in the country since 8 years as INGO "X" (with its proper pador number): our agreement signed with SWC is expiring on 31.12.2013. In the meantime we merged our foreign activities with another INGO "Y" (different pador number). We're negotiating the new MoU with the new name. Under which pador number it's opportune to submit the proposal; the older or the newest? (For NSA-LA call for proposal - 133583)

Answer: If you are submitting an application with new name/ Legal Entity, you should do it with new PADOR number.

27. Agri-Business & Trade Promotion Multi-Purpose Cooperative Ltd (ABTRACO) is an autonomous organization established in the year 2000 based on the principles of cooperatives for providing support through consultancy and advocacy services in the field of Agricultural research, marketing and enterprise development. During a decade's past experiences, ABTRACO had undertaken over 34 projects for wide spectrum of clients like DFID, CCO, USAID, ILO, FAO, GTZ, IPGRI (Rome), GRPI (Nairobi) and GoN. We would like to submit our application for Lot 1 – priority 3 (Agricultural Development) of the CFP. Is ABTRACO as a cooperative organization eligible to become applicant for this title?

Answer: Please see 2.1.1. Eligibility of applicants of the [guidelines](#) to verify if your organization meets the eligibility criteria.

28. INCLUDED is an International non-profit organization affiliated with the Social Welfare Council in Nepal. INCLUDED does essential work in difficult places, in the slums where migrants are crowded into the margins of big cities. We build community centers and broker collaborations to help migrants access the city. Starting in Beijing, we are now also active in Shanghai, Kathmandu and Dhaka, with a goal of ten cities and a hundred community centers linked together globally.

Our main headquarter and operating entity is Hong Kong (HK) based. We have a UK charity but for management purposes we treat as one organisation. Can we use the HK entity to apply or do we need to apply using the UK entity. If we have to apply using

the UK entity when looking at the track record/income to date would you only look at the UK entity or can we provide details for the entire organisation as that is how we manage the organisation.

- a) Can HK entity register for this call?
- b) Do you prefer an application from UK-based entity rather than HK based?
- c) How much does it matter with the size of income as an organization? If yes can you share the minimum income figure that EU need an organisation to meet.

Answers:

- a) Please *see 2.1.1. Eligibility of applicants* of the [guidelines](#) to verify if your entity meets the eligibility criteria.
- b) We do not express preferences.
- c) In general, EU will not go into details of organization income level, however as per guideline applicants should be able to co-finance at least 10 % of the total estimated eligible costs of the action in case of non state -actors and local authorities from Nepal and 25 % in case of all other eligible applicants, which could be a contribution from third party.

29. Whether or not EU looks at track record (organisational history) as well?

Answer: Organisational history will be checked to verify that the applicant has carried out activities in the similar or related issues proposed in the project at least during the last three years for non-state actors from a member state of the European Union and two years for non-state actors from Nepal.